

Linearised Polycrystals from a 2D System of Edge Dislocations

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in collaboration with

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Classical Elasticity: ideal crystals

Reference configuration: $\Omega \subset \mathbb{R}^3$ open bounded

Deformations: regular maps $v: \Omega \rightarrow \mathbb{R}^3$

Deformation strain: $\beta := \nabla v: \Omega \rightarrow \mathbb{M}^{3 \times 3}$

Displacement: map $u: \Omega \rightarrow \mathbb{R}^3$ s.t. $v = x + u$

Nonlinear Elasticity: the energy associated to a deformation strain β is

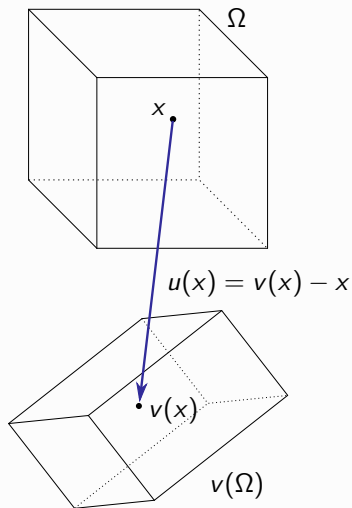
$$E(\beta) := \int_{\Omega} W(\beta) dx,$$

where $W(F) \sim \text{dist}(F, SO(3))^2$ (so $W(I) = 0$).

Linear Elasticity: let $v = x + \varepsilon u$ with $\varepsilon \approx 0$. Then

$$\lim_{\varepsilon \rightarrow 0} \frac{1}{\varepsilon^2} \int_{\Omega} W(\beta) dx = \frac{1}{2} \int_{\Omega} \mathbb{C} \nabla^{\text{sym}} u : \nabla^{\text{sym}} u dx,$$

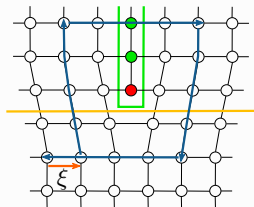
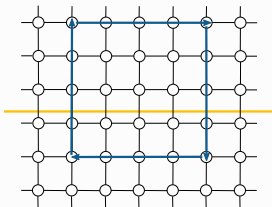
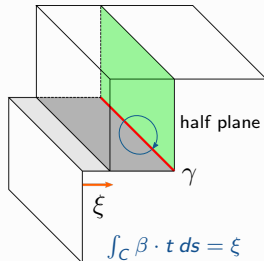
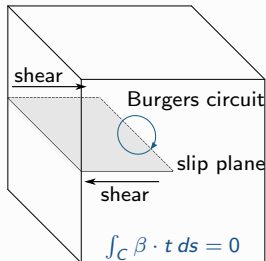
where $\mathbb{C} = \partial^2 W(I)$ and $\nabla^{\text{sym}} u := (\nabla u + \nabla u^T)/2$.



Edge dislocations

Dislocations: topological defects in the otherwise periodic structure of a crystal.

Edge dislocation: pair (γ, ξ) of dislocation line and Burgers vector, with $\xi \perp \gamma$.



Adding dislocations: the semi-discrete model

Dislocation lines: Lipschitz curves $\gamma \subset \Omega$ such that $\Omega \setminus \gamma$ is not simply connected

Burgers vector: $\xi \in \mathcal{S}$ set of slip directions

Strain generating (γ, ξ) : map $\beta: \Omega \rightarrow \mathbb{M}^{3 \times 3}$ s.t.

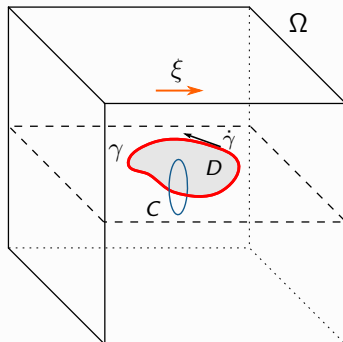
$$\text{Curl } \beta = -\xi \otimes \dot{\gamma} \mathcal{H}^1 \llcorner \gamma \implies \int_C \beta \cdot t \, d\mathcal{H}^1 = \xi.$$

Geometric interpretation: if D encloses γ , there exists a deformation $v \in SBV(\Omega; \mathbb{R}^3)$ s.t.

$$Dv = \nabla v \, dx + \xi \otimes n \mathcal{H}^2 \llcorner D, \quad \beta = \nabla v.$$

In particular:

- ▶ D = slip region,
- ▶ v has constant jump ξ across D ,
- ▶ the absolutely continuous part of Dv is β .



Regularise the problem: Core Radius Approach

Let β generate (γ, ξ) . Consider $\varepsilon > 0$ and

$$I_\varepsilon(\gamma) := \{x \in \mathbb{R}^3 : \text{dist}(x, \gamma) < \varepsilon\}.$$

Then we have

$$|\beta(x)| \sim \frac{1}{\text{dist}(x, \gamma)} \text{ in } I_\varepsilon(\gamma) \implies \beta \notin L^2(I_\varepsilon(\gamma)).$$

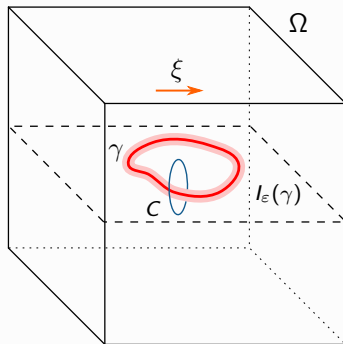
CRA: new ref. conf. $\Omega_\varepsilon(\gamma) := \Omega \setminus I_\varepsilon(\gamma)$.

New Strains: maps $\beta \in L^2(\Omega_\varepsilon(\gamma); \mathbb{M}^{3 \times 3})$ s.t.

$$\text{Curl } \beta \llcorner \Omega_\varepsilon(\gamma) = 0, \quad \int_C \beta \cdot t \, d\mathcal{H}^1 = \xi.$$

Elastic energy associated to β is

$$E_\varepsilon(\beta) := \int_{\Omega_\varepsilon(\gamma)} W(\beta) \, dx.$$



Γ -convergence

Let \mathcal{X} be a metric space and $F_n: \mathcal{X} \rightarrow \mathbb{R} \cup \{\pm\infty\}$.

Definition (Γ -convergence)

We say that F_n Γ -converges to $F: \mathcal{X} \rightarrow \mathbb{R} \cup \{\pm\infty\}$ as $n \rightarrow \infty$ if:

- ▶ (Γ -liminf inequality) for every $x \in \mathcal{X}$ and every $\{x_n\}$ such that $x_n \rightarrow x$,

$$F(x) \leq \liminf_{n \rightarrow \infty} F_n(x_n),$$

- ▶ (Γ -limsup inequality) for every $x \in \mathcal{X}$ there exists a **recovery sequence** $\{x_n\}$ such that $x_n \rightarrow x$ and

$$F(x) = \lim_{n \rightarrow \infty} F_n(x_n).$$

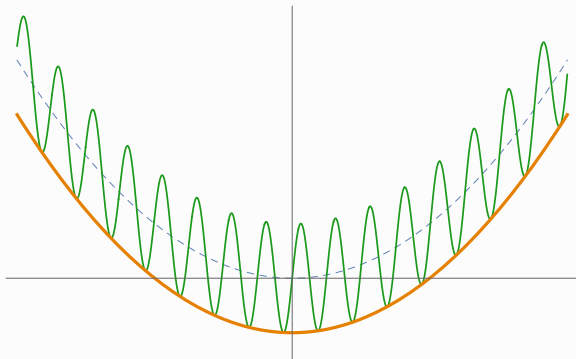
Theorem (Fundamental Theorem of Γ -convergence)

If $F_n \xrightarrow{\Gamma} F$ and $\{x_n\}$ are (almost) minimisers of F_n at each fixed n ,

- ▶ F admits minimum in \mathcal{X} and $\inf_{\mathcal{X}} F_n \rightarrow \min_{\mathcal{X}} F$
- ▶ if $x_n \rightarrow x$ then $F(x) = \min_{\mathcal{X}} F$.

Γ -convergence: basic example

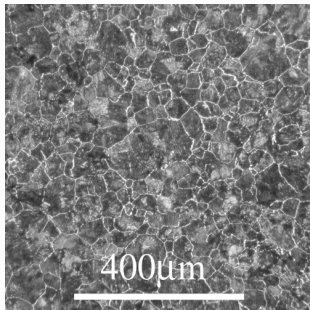
Let $\mathcal{X} = \mathbb{R}$ and define $F_n(x) := x^2 + \cos(nx)$.



We have that $F_n \xrightarrow{\Gamma} F := x^2 - 1$ as $n \rightarrow \infty$.

Motivation: polycrystals

Polycrystal: formed by many grains, having the **same** lattice structure, mutually rotated \implies interface misfit at **grain boundaries**.



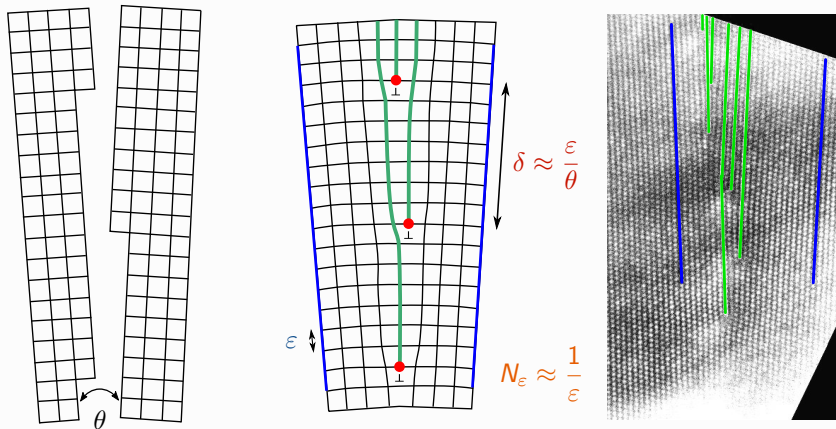
Goal: to obtain polycrystalline structures as minimisers of some energy functional.

Fanzon, Palombaro, Ponsiglione. *Derivation of Linearized Polycrystals from a Two-Dimensional System of Edge Dislocations*. SIMA (2019)

Structure of Tilt Grain Boundaries

Tilt boundary: small angle rotation θ between grains \implies **edge dislocations**.

Boundary structure: periodic array of edge dislocations with spacing $\delta = \epsilon/\theta$.



Porter, Easterling. CRC Press (2009) - Gottstein. Springer (2013)

Plan of the paper

Setting: consider a 2D system of N_ε edge dislocations, where $\varepsilon > 0$ is the lattice spacing and

$$N_\varepsilon \rightarrow +\infty \quad \text{as} \quad \varepsilon \rightarrow 0.$$

Plan: let \mathcal{F}_ε be the energy of such system.

- ▶ We compute \mathcal{F} , the Γ -limit of \mathcal{F}_ε as $\varepsilon \rightarrow 0$,
- ▶ we show that under suitable boundary conditions \mathcal{F} is minimised by polycrystals.

Linearised polycrystals: our energy regime will imply

$$N_\varepsilon \ll \frac{1}{\varepsilon}$$

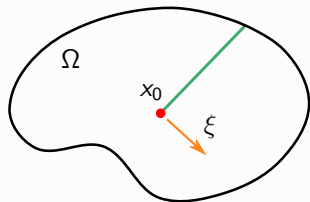
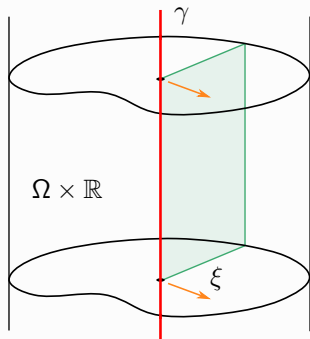
\implies we have less dislocations than tilt grain boundaries. However we still obtain polycrystalline minimisers, but with grains rotated by an infinitesimal angle $\theta \approx 0$.

Setting: linear planar elasticity

Reference configuration: $\Omega \subset \mathbb{R}^2$ open bounded.

Dislocation lines: points $x_0 \in \Omega$ separated by 2ε .

Burgers vectors: finite set $\mathcal{S} := \{b_1, \dots, b_s\} \subset \mathbb{R}^2$.



Setting: linear planar elasticity

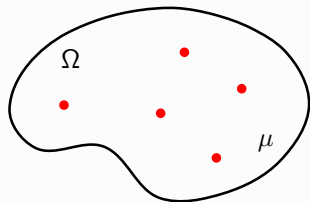
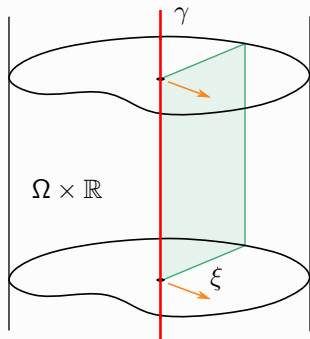
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Admissible dislocations: finite sums of Dirac masses

$$\mu := \sum_{i=1}^N \xi_i \delta_{x_i}, \quad \xi_i \in \mathcal{S}.$$



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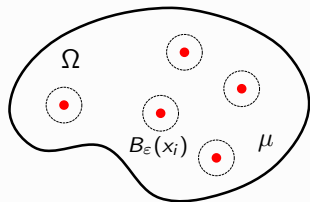
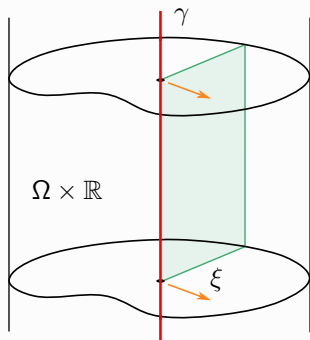
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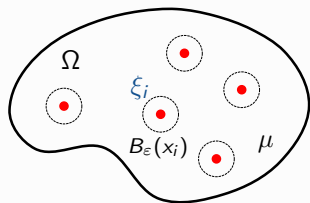
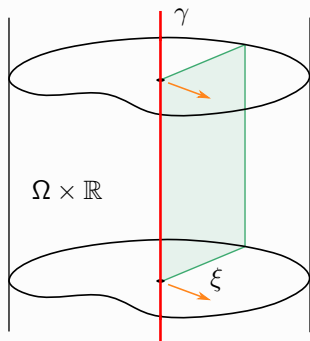
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Strains: inducing μ are maps $\beta: \Omega_\varepsilon(\mu) \rightarrow \mathbb{M}^{2 \times 2}$ s.t. $\beta = 0$ in $\cup B_\varepsilon(x_i)$ and

$$\text{Curl } \beta \llcorner \Omega_\varepsilon(\mu) = 0, \quad \int_{\partial B_\varepsilon(x_i)} \beta \cdot t \, ds = \xi_i.$$



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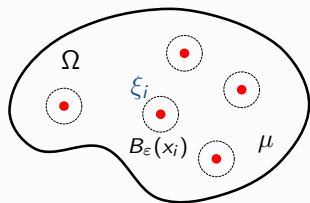
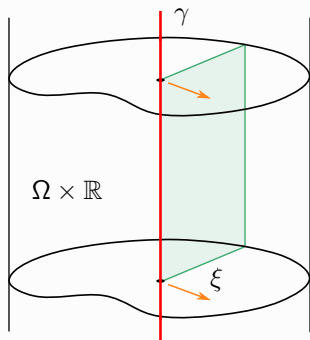
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Linear Energy: $\mathbb{C}F : F \sim |F^{\text{sym}}|^2$, then

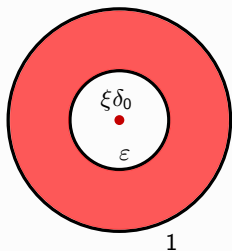
$$E_\varepsilon(\mu, \beta) := \int_{\Omega} \mathbb{C}\beta : \beta \, dx = \int_{\Omega} \mathbb{C}\beta^{\text{sym}} : \beta^{\text{sym}} \, dx.$$



Self-energy of a single dislocation core

Let β generate $\xi \delta_0$, that is “ $\text{Curl } \beta = \xi \delta_0$ ”

$$\begin{aligned} \int_{B_1 \setminus B_\varepsilon} |\beta|^2 dx &\geq \int_\varepsilon^1 \int_{\partial B_\rho} |\beta \cdot t|^2 ds d\rho \geq (\text{Jensen}) \\ &\geq \frac{1}{2\pi} \int_\varepsilon^1 \frac{1}{\rho} \left| \int_{\partial B_\rho} \beta \cdot t ds \right|^2 d\rho = \frac{|\xi|^2}{2\pi} |\log \varepsilon|. \end{aligned}$$



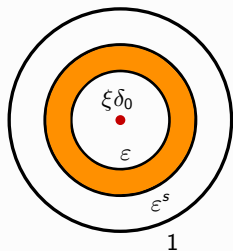
The reverse inequality can be obtained by computing the energy of

$$\beta(x) := \frac{1}{2\pi} \xi \otimes J \frac{x}{|x|^2}, \quad J := \text{clock-wise rotation of } \frac{\pi}{2}.$$

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Remark: let $s \in (0, 1)$, then

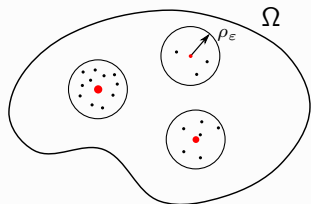
$$\int_{B_{\epsilon^s} \setminus B_\epsilon} |\beta|^2 dx \geq (1-s) \frac{|\xi|^2}{2\pi} |\log \epsilon|.$$

Self-energy: is of order $|\log \epsilon|$ and concentrated in a small ϵ region around B_ϵ .

The Hard Core assumption

HC Radius: fixed scale $\rho_\varepsilon \gg \varepsilon$ with $\rho_\varepsilon \rightarrow 0$.

Clusters of dislocations at scale ρ_ε are identified with a single **multiple dislocation**.



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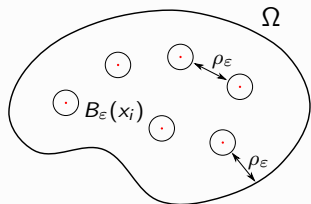
with $\mathbb{S} := \text{Span}_{\mathbb{Z}} \mathcal{S}$ set of multiple Burgers vectors, and

$$|x_i - x_j| > 2\rho_\varepsilon, \quad \text{dist}(x_k, \partial\Omega) > \rho_\varepsilon.$$

Note: dislocations separation is a technical assumption for energy estimates.

Hypothesis on HC Radius: as $\varepsilon \rightarrow 0$

- ▶ $\rho_\varepsilon / \varepsilon^s \rightarrow \infty, \forall s \in (0, 1),$ (HC region contains almost all the self-energy)
- ▶ $N_\varepsilon \rho_\varepsilon^2 \rightarrow 0.$ (Measure of HC region vanishes)



Energy regimes

Energy scaling: each dislocation accounts for $|\log \varepsilon| \implies$ relevant scaling is

$$E_\varepsilon \approx N_\varepsilon |\log \varepsilon|.$$

Rescaled energy functionals:

$$\mathcal{F}_\varepsilon(\mu, \beta) := \frac{1}{N_\varepsilon |\log \varepsilon|} \int_{\Omega_\varepsilon(\mu)} \mathbb{C} \beta^{\text{sym}} : \beta^{\text{sym}} dx.$$

Energy regimes: the behaviour of N_ε determines three different regimes:

▶ $N_\varepsilon \ll |\log \varepsilon| \rightsquigarrow$ Dilute dislocations

▶ $N_\varepsilon \approx |\log \varepsilon| \rightsquigarrow$ Critical regime

Garroni, Leoni, Ponsiglione. *Gradient theory for plasticity via homogenization of discrete dislocations*.
J. Eur. Math. Soc. (JEMS) (2010)

▶ $N_\varepsilon \gg |\log \varepsilon| \rightsquigarrow$ Super-critical regime

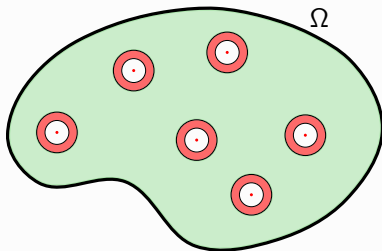
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Behaviour of \mathcal{F}_ε as $\varepsilon \rightarrow 0$ (Heuristic)

Let (μ, β) with $\mu = \sum_{i=1}^{N_\varepsilon} \xi_i \delta_{x_i}$ be such that “Curl $\beta = \mu$ ”.

Energy decomposition: let $\text{HC}_\varepsilon(\mu) := \cup_{i=1}^{N_\varepsilon} B_{\rho_\varepsilon}(x_i)$ be the HC region

$$E_\varepsilon(\mu, \beta) = \int_{\Omega \setminus \text{HC}_\varepsilon(\mu)} \mathbb{C}\beta : \beta \, dx + \int_{\text{HC}_\varepsilon(\mu)} \mathbb{C}\beta : \beta \, dx = E_\varepsilon^{\text{interaction}} + E_\varepsilon^{\text{self}}.$$



Idea: rescaling by $N_\varepsilon |\log \varepsilon|$, we have $E_\varepsilon^{\text{interaction}} \rightarrow E^{\text{elastic}}$ and $E_\varepsilon^{\text{self}} \rightarrow E^{\text{plastic}}$.

Γ -convergence result for $N_\varepsilon \gg |\log \varepsilon|$

Theorem (Fanzon, Palombaro, Ponsiglione '19)

Compactness: consider $(\mu_\varepsilon, \beta_\varepsilon)$ s.t. "**Curl** $\beta_\varepsilon = \mu_\varepsilon$ " and $\mathcal{F}_\varepsilon(\mu_\varepsilon, \beta_\varepsilon) \leq C \implies$

- ▶ $\frac{\beta_\varepsilon^{\text{sym}}}{\sqrt{N_\varepsilon |\log \varepsilon|}} \rightharpoonup S$, $\frac{\beta_\varepsilon^{\text{skew}}}{N_\varepsilon} \rightharpoonup A$ in $L^2(\Omega; \mathbb{M}^{2 \times 2})$,
- ▶ $\frac{\mu_\varepsilon}{N_\varepsilon} \xrightarrow{*} \mu$ in $\mathcal{M}(\Omega; \mathbb{R}^2)$,
- ▶ **Curl** $A = \mu$ and $\mu \in H^{-1}(\Omega; \mathbb{R}^2)$ ($\implies A \in BV(\Omega; \mathbb{M}_{\text{skew}}^{2 \times 2})$).

Γ -convergence: the functionals \mathcal{F}_ε Γ -converge to

$$\mathcal{F}(\mu, S, A) := \int_{\Omega} \mathbb{C}S : S \, dx + \int_{\Omega} \varphi \left(\frac{d\mu}{d|\mu|} \right) d|\mu|, \quad \text{with } \text{Curl } A = \mu.$$

Remark:

- ▶ S and A live on two different scales with $S \ll A \implies$ terms in \mathcal{F} decoupled.
- ▶ In the critical regime $N_\varepsilon \approx |\log \varepsilon|$ we have $S \approx A$ and $\text{Curl}(S + A) = \mu$.

The relaxation formula for φ

Self-energy for a single dislocation core $\xi\delta_0$ is

$$\psi(\xi) := \lim_{\varepsilon \rightarrow 0} \min_{\beta} \left\{ \frac{1}{|\log \varepsilon|} \int_{B_1 \setminus B_\varepsilon} \mathbb{C}\beta : \beta \, dx : \text{“Curl } \beta = \xi\delta_0\text{”} \right\}.$$

Plastic density: the map $\varphi: \mathbb{R}^2 \rightarrow [0, \infty)$ defined as the relaxation of ψ

$$\varphi(\xi) := \min \left\{ \sum_{i=1}^M \lambda_i \psi(\xi_i) : \xi = \sum_{i=1}^M \lambda_i \xi_i, M \in \mathbb{N}, \lambda_i \geq 0, \xi_i \in \mathbb{S} \right\}.$$

Note: since the energy is quadratic, in the Γ -limit we have φ instead of ψ .

Properties: φ is convex and positively 1-homogeneous. Moreover $\exists c > 0$ s.t.

$$c^{-1} |\xi| \leq \varphi(\xi) \leq c |\xi|, \quad \forall \xi \in \mathbb{R}^2.$$

Ideas for compactness: measures

Let $(\mu_\varepsilon, \beta_\varepsilon)$ with $\mu_\varepsilon = \sum_{i=1}^{M_\varepsilon} \xi_{\varepsilon,i} \delta_{x_{\varepsilon,i}}$ and “Curl $\beta_\varepsilon = \mu_\varepsilon$ ”. Assume that

$$\sup_{\varepsilon} \mathcal{F}_\varepsilon(\mu_\varepsilon, \beta_\varepsilon) \leq C.$$

We show that

$$\frac{|\mu_\varepsilon|(\Omega)}{N_\varepsilon} = \frac{1}{N_\varepsilon} \sum_{i=1}^{M_\varepsilon} |\xi_{\varepsilon,i}| \leq C \implies \frac{\mu_\varepsilon}{N_\varepsilon} \xrightarrow{*} \mu. \quad (1)$$

$$C \geq \frac{1}{N_\varepsilon |\log \varepsilon|} \int_{\Omega} \mathbb{C} \beta_\varepsilon : \beta_\varepsilon \, dx \geq \frac{1}{N_\varepsilon} \sum_{i=1}^{M_\varepsilon} \frac{1}{|\log \varepsilon|} \int_{B_{\rho_\varepsilon}(x_{\varepsilon,i})} \mathbb{C} \beta_\varepsilon : \beta_\varepsilon \, dx$$

$$(\rho_\varepsilon \gg \varepsilon) \gtrsim \frac{1}{N_\varepsilon} \sum_{i=1}^{M_\varepsilon} \psi(\xi_{\varepsilon,i}) \geq \frac{1}{N_\varepsilon} \sum_{i=1}^{M_\varepsilon} \varphi(\xi_{\varepsilon,i}) = \frac{1}{N_\varepsilon} \sum_{i=1}^{M_\varepsilon} |\xi_{\varepsilon,i}| \varphi\left(\frac{\xi_{\varepsilon,i}}{|\xi_{\varepsilon,i}|}\right)$$

$$\left(c := \min_{|\xi|=1} \varphi(\xi) > 0 \right) \geq \frac{c}{N_\varepsilon} \sum_{i=1}^{M_\varepsilon} |\xi_{\varepsilon,i}| = \frac{c}{N_\varepsilon} |\mu_\varepsilon|(\Omega) \implies (1)$$

Ideas for compactness: strains

Symmetric Part: recalling that $\mathbb{C}F : F \geq C|F^{\text{sym}}|^2$, we have

$$N_\varepsilon |\log \varepsilon| \geq C \int_{\Omega} \mathbb{C}\beta_\varepsilon : \beta_\varepsilon \, dx \geq C \int_{\Omega} |\beta_\varepsilon^{\text{sym}}|^2 \, dx \implies \frac{\beta_\varepsilon^{\text{sym}}}{\sqrt{N_\varepsilon |\log \varepsilon|}} \rightharpoonup S.$$

Skew Part: we use a **Generalised Korn inequality**: there exists $C > 0$ s.t. for every $\beta \in L^1(\Omega; \mathbb{M}^{2 \times 2})$ with $\text{Curl } \beta \in \mathcal{M}(\Omega; \mathbb{R}^2)$,

$$\int_{\Omega} |\beta^{\text{skew}}|^2 \, dx \leq C \left(\int_{\Omega} |\beta^{\text{sym}}|^2 \, dx + |\text{Curl } \beta|(\Omega)^2 \right). \quad (2)$$

Since “ $\text{Curl } \beta_\varepsilon = \mu_\varepsilon$ ”, by (2) and assumption $N_\varepsilon \gg |\log \varepsilon|$ we get

$$\begin{aligned} \int_{\Omega} |\beta_\varepsilon^{\text{skew}}|^2 \, dx &\leq C \left(\int_{\Omega} |\beta_\varepsilon^{\text{sym}}|^2 \, dx + |\mu_\varepsilon|(\Omega)^2 \right) \\ &\leq C (N_\varepsilon |\log \varepsilon| + N_\varepsilon^2) \leq CN_\varepsilon^2 \implies \frac{\beta_\varepsilon^{\text{skew}}}{N_\varepsilon} \rightharpoonup A. \end{aligned}$$

Garroni, Leoni, Ponsiglione. J. Eur. Math. Soc. (JEMS) (2010)

Ideas for Γ -liminf

Assume that $(\mu_\varepsilon, \beta_\varepsilon)$ is such that $\mu_\varepsilon = \sum_{i=1}^{M_\varepsilon} \xi_{\varepsilon,i} \delta_{x_{\varepsilon,i}}$, “Curl $\beta_\varepsilon = \mu_\varepsilon$ ” and

$$\frac{\beta_\varepsilon^{\text{sym}}}{\sqrt{N_\varepsilon |\log \varepsilon|}} \rightarrow S, \quad \frac{\beta_\varepsilon^{\text{skew}}}{N_\varepsilon} \rightarrow A, \quad \frac{\mu_\varepsilon}{N_\varepsilon} \xrightarrow{*} \mu, \quad \text{with } \text{Curl } A = \mu.$$

We have to show

$$\liminf_{\varepsilon \rightarrow 0} \frac{1}{N_\varepsilon |\log \varepsilon|} \int_{\Omega} \mathbb{C} \beta_\varepsilon : \beta_\varepsilon \, dx \geq \int_{\Omega} \mathbb{C} S : S \, dx + \int_{\Omega} \varphi \left(\frac{d\mu}{d|\mu|} \right) d|\mu|.$$

Idea: split the energy $E_\varepsilon(\mu_\varepsilon, \beta_\varepsilon) = E_\varepsilon^{\text{interaction}} + E_\varepsilon^{\text{self}}$ and use lower semicontinuity:

$$\liminf_{\varepsilon \rightarrow 0} \frac{1}{N_\varepsilon |\log \varepsilon|} \int_{\Omega \setminus HC_\varepsilon} \mathbb{C} \beta_\varepsilon : \beta_\varepsilon \, dx \geq \int_{\Omega} \mathbb{C} S : S \, dx \quad (N_\varepsilon \rho_\varepsilon^2 \rightarrow 0 \implies |HC_\varepsilon| \rightarrow 0)$$

$$\begin{aligned} \liminf_{\varepsilon \rightarrow 0} \frac{1}{N_\varepsilon |\log \varepsilon|} \int_{HC_\varepsilon} \mathbb{C} \beta_\varepsilon : \beta_\varepsilon \, dx &= \liminf_{\varepsilon \rightarrow 0} \frac{1}{N_\varepsilon} \sum_{i=1}^{M_\varepsilon} \frac{1}{|\log \varepsilon|} \int_{B_{\rho_\varepsilon}(x_{\varepsilon,i})} \mathbb{C} \beta_\varepsilon : \beta_\varepsilon \, dx \\ &\gtrsim \liminf_{\varepsilon \rightarrow 0} \frac{1}{N_\varepsilon} \sum_{i=1}^{M_\varepsilon} \varphi(\xi_{\varepsilon,i}) = \liminf_{\varepsilon \rightarrow 0} \frac{1}{N_\varepsilon} \int_{\Omega} \varphi \left(\frac{d\mu_\varepsilon}{d|\mu_\varepsilon|} \right) d|\mu_\varepsilon| \geq \int_{\Omega} \varphi \left(\frac{d\mu}{d|\mu|} \right) d|\mu| \end{aligned}$$

by Reshetnyak's lower semicontinuity Theorem, since φ is 1-homogeneous.

Ideas for Γ -limsup

Consider (μ, S, A) with S symmetric, A skew and $\text{Curl } A = \mu$. We have to construct a recovery sequence $(\mu_\varepsilon, \beta_\varepsilon)$ with “ $\text{Curl } \beta_\varepsilon = \mu_\varepsilon$ ” s.t.

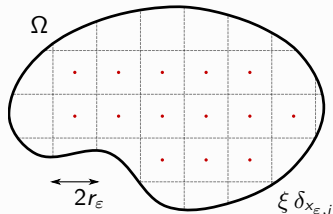
$$\frac{\beta_\varepsilon^{\text{sym}}}{\sqrt{N_\varepsilon |\log \varepsilon|}} \rightharpoonup S, \quad \frac{\beta_\varepsilon^{\text{skew}}}{N_\varepsilon} \rightharpoonup A, \quad \frac{\mu_\varepsilon}{N_\varepsilon} \xrightarrow{*} \mu, \quad (3)$$

$$\lim_{\varepsilon \rightarrow 0} \frac{1}{N_\varepsilon |\log \varepsilon|} \int_{\Omega} \mathbb{C} \beta_\varepsilon : \beta_\varepsilon \, dx = \int_{\Omega} \mathbb{C} S : S \, dx + \int_{\Omega} \varphi \left(\frac{d\mu}{d|\mu|} \right) d|\mu|. \quad (4)$$

For simplicity assume $\mu = \xi \, dx$ with $\varphi(\xi) = \psi(\xi)$. The general is more technical.

Recovery measures:

- ▶ divide Ω in $\approx N_\varepsilon$ squares of side $2r_\varepsilon = C/\sqrt{N_\varepsilon}$
- ▶ the recovery sequence is $\mu_\varepsilon = \sum_{i=1}^{M_\varepsilon} \xi \delta_{x_\varepsilon, i}$.



Ideas for Γ -limsup

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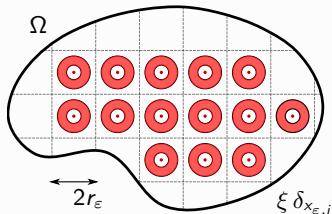
Recovery measures:

- ▶ divide Ω in $\approx N_\varepsilon$ squares of side $2r_\varepsilon = C/\sqrt{N_\varepsilon}$
- ▶ the recovery sequence is $\mu_\varepsilon = \sum_{i=1}^{M_\varepsilon} \xi \delta_{x_{\varepsilon,i}}$.

Recovery strains: let K_ε be the solution to the cell-problem about each $x_{\varepsilon,i}$. Then $K_\varepsilon/\sqrt{N_\varepsilon |\log \varepsilon|} \rightarrow 0$ and

$$\beta_\varepsilon = \sqrt{N_\varepsilon |\log \varepsilon|} S + N_\varepsilon A + K_\varepsilon + O(\sqrt{N_\varepsilon |\log \varepsilon|})$$

satisfies (3), (4) and “ $\text{Curl } \beta_\varepsilon = \mu_\varepsilon$ ”.



Adding boundary conditions

Dirichlet type BC: at level $\varepsilon > 0$ fix a boundary condition $g_\varepsilon: \Omega \rightarrow \mathbb{M}^{2 \times 2}$ s.t.

$$\frac{g_\varepsilon^{\text{sym}}}{\sqrt{N_\varepsilon |\log \varepsilon|}} \rightharpoonup g_S, \quad \frac{g_\varepsilon^{\text{skew}}}{N_\varepsilon} \rightharpoonup g_A.$$

Dislocations and strains: (μ, β) such that “ $\text{Curl } \beta = \mu$ ” and

$$\mu(\Omega) = \int_{\partial\Omega} g_\varepsilon \cdot t \, ds, \quad \beta \cdot t = g_\varepsilon \cdot t \quad \text{on } \partial\Omega.$$

Theorem (Fanzon, Palombaro, Ponsiglione '19)

The energy functionals \mathcal{F}_ε are equi-coercive and they Γ -converge to

$$\mathcal{F}_{\text{BC}}(\mu, S, A) := \int_{\Omega} \mathbb{C}S : S \, dx + \int_{\Omega} \varphi \left(\frac{d\mu}{d|\mu|} \right) d|\mu| + \int_{\partial\Omega} \varphi((g_A - A) \cdot t) \, ds,$$

with $\text{Curl } A = \mu$ and $\mu \in \mathcal{M}(\Omega; \mathbb{R}^2) \cap H^{-1}(\Omega; \mathbb{R}^2)$.

Remark: $\beta^{\text{sym}} \ll \beta^{\text{skew}} \implies$ BC pass to the limit only for A.

Minimising \mathcal{F}_{BC} with piecewise constant BC

Remark: there are no BC on $S \implies$ we can neglect elastic energy.

Piecewise constant BC: Fix a piecewise constant BC

$$g_A := \begin{pmatrix} 0 & a \\ -a & 0 \end{pmatrix}, \quad a := \sum_{k=1}^M m_k \chi_{U_k},$$

with $m_k < m_{k+1}$ and $\{U_k\}_{k=1}^M$ Caccioppoli partition of Ω .

Problem

Minimise

$$\mathcal{F}_{\text{BC}}(\text{Curl } A, 0, A) = \int_{\Omega} \varphi \left(\frac{d \text{Curl } A}{d|\text{Curl } A|} \right) d|\text{Curl } A| + \int_{\partial\Omega} \varphi((g_A - A) \cdot t) ds,$$

with $\text{Curl } A \in \mathcal{M}(\Omega; \mathbb{R}^2) \cap H^{-1}(\Omega; \mathbb{R}^2)$.

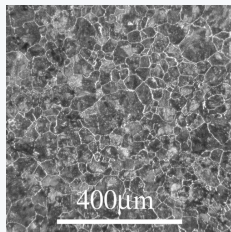
Polycrystals as energy minimisers

Theorem (Fanzon, Palombaro, Ponsiglione '19)

Given a piecewise constant boundary condition g_A , there exists a *piecewise constant* minimiser of $\mathcal{F}_{BC}(\text{Curl } A, 0, A)$

$$A = \sum_{k=1}^M A_k \chi_{E_k},$$

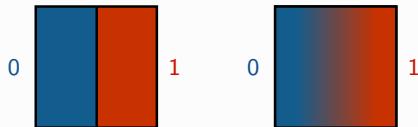
with $A_k \in \mathbb{M}_{\text{skew}}^{2 \times 2}$ and $\{E_k\}_{k=1}^M$ Caccioppoli partition of Ω . We interpret A as a *linearised polycrystal*.



Proof Strategy: We are minimising an anisotropic total variation functional. By Coarea formula we select the levels with minimal perimeter, defining the Caccioppoli partition.

Open Question: Are all minimisers piecewise constant? Uniqueness?

Essential: that the boundary condition is piecewise affine on the *whole* $\partial\Omega$.



Conclusions and Perspectives

Conclusions:

- ▶ A variational model for **linearised polycrystals** with infinitesimal rotations between the grains, deduced by Γ -convergence.
- ▶ Networks of dislocations are obtained as the result of **energy minimisation**, under suitable boundary conditions.

Open Questions:

- ▶ **Uniqueness** of piecewise constant minimisers?
- ▶ **Dynamics** for linearised polycrystals?
Taylor. Bull. Amer. Math. Soc. (1978).
Chambolle, Morini, Ponsiglione. Comm. Pure Appl. Math (2017).
- ▶ Γ -convergence analysis starting from a **non-linear energy**?
Namely, considering small deformations $v = x + \varepsilon u$. Now the Burgers vectors are $\varepsilon \xi$ and the equivalent rescaling is $\varepsilon^2 N_\varepsilon |\log \varepsilon|$.
Müller, Scardia, Zeppieri. Indiana University Mathematics Journal (2014).

Thank You!